



A CVE Verified Service-Disabled Veteran Owned Small Business

### CMMC Questionnaire

Company Legal Name:

Industry:

Primary Contact Name:

Title:

Phone #:

Headquarters Address:

Email:

CAGE Code:

1. Are you a Prime contractor on any active DoD contracts? Yes  No 
  - a. If no, are you a subcontractor on any DoD contracts? Yes  No
2. Do you have any existing Controlled Unclassified Information? Yes  No
3. How many total employees do you have? Full Time  Part Time 
  - a. How many IT employees you do you have? Full Time  Part Time  1099
4. How many locations do you have?  How many states are they located in?
5. Have you determined your full CMMC assessment boundary and scope? Yes  No
6. Have you categorized all assets (People, Technology, Facility) within your assessment scope? (CUI, Security Protection, Specialized, Out of Scope, Contractor Risk Managed) Yes  No
7. Do you have a Data Flow Diagram completed? Yes  No
8. Do you have a Network Diagram completed? Yes  No
9. Have you conducted a mock CUI flow test? Yes  No
10. Do you have a fully completed and signed System Security Plan? Yes  No
11. Do you have any open Plan of Action & Milestones (POA&Ms) for any deficiencies? Yes  No
12. Have you completed a third-party NIST 800-171 Assessment within the last 180 days? Yes  No
13. Have you entered your NIST 800-171 assessment score in SPRS? Yes  No
14. Do you provide your organization with Cybersecurity Awareness and Training? Yes  No
15. Do you utilize any third-party services providers? Yes  No

a. If yes, please provider their name, and a summary of what services they provide:

16. How many of each type of system do you currently have?
  - a. Windows 10/11 Computers
  - i. Total number with Microsoft 365:
  - b. Linux



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- c. Mac
- d. iOS Devices
- e. Android Devices

17. Is your Active Directory on-premise, hybrid, or cloud based?

18. Number of computers on Active Directory(s):

19. Number of Firewalls:

a. Brand and Type(s):

20. Number of remote access users:

a. Types used (VPN, SSH, Terminal, etc.)

21. Number of servers by location/type:

a. On-premise

i. Type(s)

b. Virtual

i. Type(s)

c. Cloud

i. Type(s)

22. Storage by location/type:

a. On-premise

i. Type(s)

b. Cloud

i. Type(s)

c. Off-premise secured

i. Type(s)

23. Do you have a list of, or database for, all your IT hardware and software assets? Yes  No

24. Do you use any cloud services for handling CUI? Yes  No

a. If so, what services:

b. Is the CSP FEDRAMP Authorized? Yes  No

25. Is there a formalized Risk Assessment process that identifies, quantifies, and prioritizes risks based on the risk acceptance levels relevant to the organization? Yes  No

26. Do Subcontractors have access to scoped systems and data or processing facilities? Yes  No

27. Have you developed formal written policies, practices and procedures regarding each of the following 14 NIST 800-171 primary control families?



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Control Family	Written Policy developed?	Practices and Procedures Developed?
a. Access Control (3.1)	<input type="checkbox"/>	<input type="checkbox"/>
b. Awareness and Training (3.2)	<input type="checkbox"/>	<input type="checkbox"/>
c. Audit and Accountability (3.3)	<input type="checkbox"/>	<input type="checkbox"/>
d. Configuration Management (3.4)	<input type="checkbox"/>	<input type="checkbox"/>
e. Identification and Authentication (3.5)	<input type="checkbox"/>	<input type="checkbox"/>
f. Incident Response (3.6)	<input type="checkbox"/>	<input type="checkbox"/>
g. Maintenance (3.7)	<input type="checkbox"/>	<input type="checkbox"/>
h. Media Protection (3.8)	<input type="checkbox"/>	<input type="checkbox"/>
i. Personnel Security (3.9)	<input type="checkbox"/>	<input type="checkbox"/>
j. Physical Protection (3.10)	<input type="checkbox"/>	<input type="checkbox"/>
k. Risk Assessment (3.11)	<input type="checkbox"/>	<input type="checkbox"/>
l. Security Assessment (3.12)	<input type="checkbox"/>	<input type="checkbox"/>
m. System and Comm Protection (3.13)	<input type="checkbox"/>	<input type="checkbox"/>
n. System & Information Integrity (3.14)	<input type="checkbox"/>	<input type="checkbox"/>

Additional Notes or information you would like to share:

**KNC Strategic Services**

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